
SYSTEMIC BOUNDARIES ISSUE IN THE LIGHT OF MATHEMATICAL MODELING OF THE WORLD-SYSTEM EVOLUTION

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In this article we demonstrate why mathematical models of the World System evolution are so important for the world-systems research, in general, and for the issue of systemic boundaries in particular. The point is that those mathematical models demonstrate in a rather convincing way that in order that a certain set of human societies would demonstrate systemic qualities (and – thus – could be described with a single mathematical model), it is sufficient that just one condition is observed – it is necessary that the technological innovations made in one society of a system could diffuse within a millennium throughout all the other societies of the system. As soon as this condition is satisfied, the respective set of human societies can be treated as a single system (and – what is important – can be described with a single mathematical model), and we do not know any better designation for such a system than the ‘world-system’. This, of course suggests rather specific criteria for the world-systemic boundaries.

Keywords: *systemic boundaries, technology, Afroeurasian world-system, mathematical modeling, demography, population, history of globalization, the World System, domesticates.*

In this article we will attempt to demonstrate why mathematical models of the World System evolution are so important for the world-systems research in general, and for the issue of systemic boundaries in particular. We will try to show that these models suggest a novel approach to the world-systems research allowing perceiving the issue of the systemic boundaries in a new light.

* * *

In 1960, von Foerster, Mora, and Amiot published, in the journal *Science*, a striking discovery. They showed that between 1 and 1958 CE the world's population (N) dynamics can be described in an extremely accurate way with an astonishingly simple equation:¹

$$N_t = \frac{C}{t_0 - t}, \quad (1)$$

where N_t is the world population at time t , and C and t_0 are constants, with t_0 corresponding to an absolute limit (‘singularity’ point) at which N would become infinite.

Parameter t_0 was estimated by von Foerster and his colleagues as 2026.87, which corresponds to November 13, 2026; this made it possible for them to supply their article with a public-relations masterpiece title – ‘Doomsday: Friday, 13 November, A.D. 2026’ (von Foerster, Mora, and Amiot 1960).²

Note that the graphic representation of this equation is nothing but a hyperbola; thus, the growth pattern described is denoted as ‘hyperbolic’.

Note that the von Foerster equation, $N_t = \frac{C}{t_0 - t}$, is just the solution for the following differential equation (see, *e.g.*, Korotayev, Malkov, and Khaltourina 2006a: 119–120)

$$\frac{dN}{dt} = \frac{N^2}{C}. \tag{2}$$

This equation can be also written as

$$\frac{dN}{dt} = aN^2, \tag{3}$$

where $a = \frac{1}{C}$.

What is the meaning of this mathematical expression, $\frac{dN}{dt} = aN^2$? In our context dN/dt denotes the absolute population growth rate at a certain moment of time. Hence, this equation states that the absolute population growth rate at any moment of time should be proportional to the square of population at this moment.

The main mathematical models (Taagepera 1976, 1979, 2014; Kremer 1993; Cohen 1995; Podlazov 2000, 2001, 2002, 2004; Tsirel 2004; Korotayev 2005, 2006, 2007, 2008, 2012; Korotayev, Malkov, and Khaltourina 2006a: 21–36; Korotayev, Malkov 2016) of the world population hyperbolic growth are based on the two following assumptions:

1) ‘the Malthusian (1978) assumption that population is limited by the available technology, so that the growth rate of population is proportional to the growth rate of technology’ (Kremer 1993: 681–682).³ This statement looks quite convincing. Indeed, throughout most of human history the world population was limited by the technologically determined ceiling of the carrying capacity of land. For example, with foraging subsistence technologies the Earth could not support more than 10 million people, because the amount of naturally available useful biomass on this planet is limited, and the world population managed to grow beyond this limit only when people started to apply various means to artificially increase the amount of available biomass, that is with the transition from foraging to food production. However, the extensive agriculture also can only support a limited number of people, and further growth of the world population only became possible with the intensification of agriculture and other technological improvements (see, *e.g.*, Turchin 2003; Korotayev, Malkov, and Khaltourina 2006a, 2006b; Korotayev and Khaltourina 2006).

This assumption can be modeled in a number of ways. For example, Sergey Tsirel (2004) chooses for this purpose the classical logistic model of Pierre François Verhulst:

$$\frac{dN}{dt} = rN \left(1 - \frac{N}{K}\right) \tag{4}$$

where K is the technologically determined carrying capacity of the Earth (as regards the humans).

However, as is well known, the technological level is not a constant, but a variable (see, *e.g.*, Grinin 2006, 2007). And in order to describe its dynamics the second basic assumption is employed:

2) ‘High population spurs technological change because it increases the number of potential inventors...’⁴ In a larger population there will be proportionally more people lucky or smart enough to come up with new ideas’ (Kremer 1993: 685), thus, ‘the growth rate of technology is proportional to total population’.⁵ In fact, here Kremer uses the main assumption of the Endogenous Technological Growth theory (Kuznets 1960; Grossman and Helpman 1991; Aghion and Howitt 1992, 1998; Simon 1977, 1981, 2000; Komlos and Nefedov 2002; Jones 1995, 2003, 2005 *etc.*). As this supposition, to our knowledge, was first proposed by Simon Kuznets (1960), we shall denote the corresponding type of dynamics as ‘Kuznetsian’,⁶ while the systems in which the ‘Kuznetsian’ population-technological dynamics is combined with the ‘Malthusian’ demographic one will be denoted as ‘Malthusian-Kuznetsian’. In general, we find this assumption rather plausible – in fact, it is quite probable that, other things being equal, within a given period of time, one billion people will make approximately one thousand times more inventions than one million people.

This assumption is expressed mathematically in the following way:

$$\frac{dT}{dt} = kNT \quad (5)$$

Actually, this equation just proves that the absolute technological growth rate at a given moment of time is proportional to the technological level observed at this moment (the wider is the technological base, the more inventions could be made on its basis), and, on the other hand, it is proportional to the population (the larger is the population, the larger is the number of potential inventors).⁷

As has been demonstrated on a number of occasions, when those two assumptions are expressed mathematically (*e.g.*, in the way indicated above) and the two respective equations are united into a single mathematical system, the resultant mathematical model turns out to be capable of describing the global population growth in an extremely accurate way (Taagepera 1976, 1979; Kremer 1993; Podlazov 2000; Tsirel 2004; Korotayev 2005, 2012; Korotayev, Malkov, and Khaltourina 2006a: 21–36).

The resultant models provide a rather convincing explanation of *why* throughout most of human history the world population followed the hyperbolic pattern with the absolute population growth rate tending to be proportional to N^2 . For example, why will the growth of population from, say, 10 million to 100 million, result in the growth of dN/dt by 100 times? The above mentioned models explain this rather convincingly. The point is that the growth of world population from 10 to 100 million implies that human technology also grew approximately by ten times (given that it will have proven, after all, to be able to support a ten times larger population). On the other hand, the tenfold population growth also implies a tenfold growth of the number of potential inventors, and, hence, a tenfold increase in the relative technological growth rate. Hence, the absolute technological growth rate will grow $10 \times 10 = 100$ times (as, in accordance with equation (5), an order of magnitude larger number of people having at their disposal an order of magnitude wider technological basis would tend to make two orders of magnitude more inventions). And as N tends to the technologically determined carrying capacity ceiling, we have good reason to expect that dN/dt will also grow just by about 100 times.

In fact, it can be demonstrated (see, *e.g.*, Korotayev, Malkov, and Khaltourina 2006a, 2006b; Korotayev and Khaltourina 2006) that the hyperbolic pattern of the world's population growth could be accounted for by the nonlinear second order positive feedback mechanism that was shown long ago to generate just the hyperbolic growth, known also as the 'blow-up regime' (see, *e.g.*, Kurdjumov 1999; Knyazeva and Kurdjumov 2005). In our case this nonlinear second order positive feedback looks as follows: the more people – the more potential inventors – the faster technological growth – the faster growth of the Earth's carrying capacity – the faster population growth – with more people you also have more potential inventors – hence, faster technological growth, and so on (see Fig. 1).

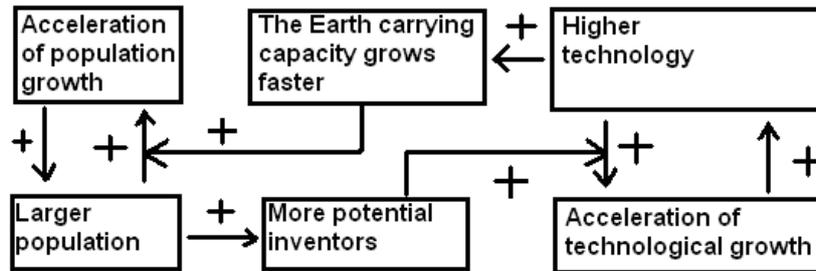


Fig. 1. Cognitive scheme of the nonlinear second order positive feedback between technological development and demographic growth

Note that the relationship between technological development and demographic growth cannot be analyzed through any simple cause-and-effect model, as we observe a true dynamic relationship between these two processes – each of them is both the cause and the effect of the other.

It is remarkable that this model suggests ways to answer one of the main objections raised against the hyperbolic models of the world's population growth. Indeed, at present the academic social science community has not accepted the mathematical models of world population growth as a hyperbolic one.⁸ We believe that there are substantial reasons for such a position, and that the authors of the respective models are as much to blame for this rejection as are social scientists.

Indeed, all these models are based on an assumption that world population can be treated as a system remaining integrated for many centuries, if not millennia, before 1492. Already in 1960, von Foerster, Mora, and Amiot spelled out this assumption in a rather explicit way,

However, what may be true for elements which, because of lack of adequate communication among each other, have to resort to a competitive, (almost) zero-sum multiperson game may be false for elements that possess a system of communication which enables them to form coalitions until all elements are so strongly linked that the population as a whole can be considered from a game-theoretical point of view as a single person playing a two-person game with nature as its opponent (von Foerster, Mora, and Amiot 1960: 1292).

However, did, for example, in 1–1500 CE, the inhabitants of, say, Central Asia, Tasmania, Hawaii, Terra del Fuego, the Kalahari *etc.* (*i.e.* just the world population) really have 'adequate communication' to make 'all elements... so strongly linked that the popu-

lation as a whole can be considered from a game-theoretical point of view as a single person playing a two-person game with nature as its opponent? For any historically-minded social scientist the answer to this question is perfectly clear and, of course, it is squarely negative. Against this background it is hardly surprising that those social scientists who have happened to come across hyperbolic models for world population growth have tended to treat them merely as ‘demographic adventures of physicists’ (note that indeed, nine out of twelve currently known authors of such models are physicists); none of the respective authors (von Foerster, Mora, and Amiot 1960; von Hoerner 1975; Taagepera 1976, 1979; Kapitza 1992, 1999; Kremer 1993; Cohen 1995; Podlazov 2000, 2001, 2002, 2004; Johansen and Sornette 2001; Tsirel 2004), after all, has provided any convincing answer to the question above.

However, it is not so difficult to provide such an answer.

But before let us consider why the mathematical models of the World System evolution are so important for the world-systems research, in general, and for the issue of systemic boundaries in particular. The point is that those mathematical models demonstrate in a rather convincing way that in order that a certain set of human societies would demonstrate systemic qualities (and – thus – could be described with a single mathematical model) it is sufficient that just one condition is observed – it is necessary that the technological innovations made in one society of a system could diffuse within a millennium throughout all other societies of the system. As soon as this condition is satisfied, the respective set of human societies can be treated as a single system (and – what is important – can be described with a single mathematical model), and we do not know any better designation for such a system than the ‘world-system’. This, of course suggests rather specific criteria for the world-systemic boundaries. The boundary of any particular world-system can be identified via defining the zone of diffusion of the main technological innovations within a respective world-system. Thus the contacts between Central Asia and China in the 3rd and 2nd millennia BCE can be said to have resulted in the incorporation of China into the Afroeurasian world-system (the World System) as they led to the borrowing on the part of China of major technological innovations of the western part of Afroeurasia (wheat, barley, cattle, sheep, goats, horses, bronze metallurgy, wheeled transport, plow and so on), whereas the Norse contacts with the New World in the early 2nd millennium CE did not result in any changes of the world-system boundaries, as those contacts (unlike the Columbian ones) did not result in any significant diffusions of technologies either from Europe to the New World or from America to the Old World.

The hyperbolic trend observed for the world population growth after 10,000 BCE does appear to be primarily a product of the growth of quite a real system, a system that seems to have originated in West Asia around that time in direct connection with the Neolithic Revolution. With Andre Gunder Frank (1990, 1993; Frank and Gills 1994), we denote this system as ‘the World System’ (see also, *e.g.*, Modelski 2000, 2003; Devezas and Modelski 2003). As has been just mentioned, the presence of the hyperbolic trend itself indicates that the major part of the entity in question had some systemic unity, and the evidence for this unity is readily available. Indeed, we have evidence for the systematic spread of major innovations (domesticated cereals, cattle, sheep, goats, horses, plow, wheel, copper, bronze, and later iron technology, and so on) throughout the whole North African – Eurasian Oikumene for a few millennia BCE (see, *e.g.*, Chubarov 1991, or Diamond 1999 for a synthesis of such evidence).

Below we will consider some of this evidence in more detail.

One of the earliest examples of diffusion through the information network of the World System is the spread of domesticated plants and animals from their initial location of domestication. Several such locations are currently known (see Table 1), the most ancient one with the greatest number of domestications being the Near East. The so-called Near Eastern founder crop package includes emmer wheat, einkorn wheat, barley, pea, lentil, chickpea, bitter vetch, and one technical plant, namely linen⁹ (Zohary and Hopf 2000: 241–242). These primary domesticates spread wide from the Near East across the Central and Southern Asia about 8,000 BP (Zohary and Hopf 2000) and reached the major part of Europe about 7,000 BP (Brown *et al.* 2009: 108).

Table 1

Approximate domestication dates for the basic cultivars (crops and starches)

<i>Species</i>	Domestication time, BP
Southwest Asia	
Emmer wheat, einkorn wheat, barley	11,500–10,000
Rye	10,000 ¹⁰
China	
Millet	11,000 (Yang <i>et al.</i> 2012) – 8,000
Rice	9,000 (Molina <i>et al.</i> 2011; Liu <i>et al.</i> 2007) – 8,000
Soya beans	9,000 – 8,600
Buckwheat	8,000 (Ohnishi 1998; Amézqueta 2013) – 5,500 (Li <i>et al.</i> 2009)
Mexico	
Corn	9,000 – 7,000
South America	
Sweet potato	10,000 – 8,000 (Roullier <i>et al.</i> 2013)
Manioc	8,000
Potato	7,000
New Guinea	
Yam, banana, taro	7,000 (Denham <i>et al.</i> 2003; Perrier <i>et al.</i> 2011)
Africa	
Sorghum	4,000

Source: Price and Bar-Yosef 2011: 170–171 unless stated otherwise in the footnotes.

Let us consider the spread of some of the cultivars mentioned in Table 1 in more detail. The geographic diffusion of the emmer wheat was tightly related to human migration. The Balkan and Asiatic groups of wheat come from south-western Anatolia, whereas the European group originates from Levant (Badaeva *et al.* 2015: 13–14). Cytogenetic analysis reveals four main ways of wheat diffusion throughout the Afroeurasian world-system:

– the ‘Balkan way’ goes from south-eastern Anatolia to the Balkans, and further on to the Eastern Europe, the Volga region, and the Urals;

– the ‘Asian way’ also starts in south-eastern Anatolia and goes through Transcaucasia and the Volga region into Europe; another ‘branch’ of this way passes Iran on to South Asia and India;

– the ‘European way’ starts in Southern Levant and goes through the Iberian Peninsula to Europe; archeological evidence supports the existence of two waves of agricultural diffusion into Europe, the first passing Turkey, the Balkans, and Central Europe up the river systems into Western Europe, and the second going through the seas into Southern Europe;

– the fourth way starts in Iran and Iraq to pass Oman, and therefrom to get to Ethiopia and India (Badaeva *et al.* 2015: 13–17).

Along with wheat, barley was domesticated in the Near East about 10,500 BP (Zohary and Hopf 2000; Diamond 2002). However, currently there is enough evidence to support the hypothesis that the domestication of barley occurred more than once (Morrell and Clegg 2007; Jones *et al.* 2013). Research on the difference in haplotype frequency reveals two centers of barley domestication, one in the Fertile Crescent, and one 1,500–3,000 km further to the East, probably in Zagros mountains or even further to the East (Morrell and Clegg 2007; Saisho and Purugganan 2007), probably in Tibet (see Dai *et al.* 2012; Ren *et al.* 2013). The barley domesticated in the Fertile Crescent contributed the majority of diversity in European and American cultivars. The second domestication contributed most of the diversity in barley from Central Asia to the Far East (Morrell and Clegg 2007; Saisho and Purugganan 2007).

Another independent center of domestication (nearly as ancient as the Fertile Crescent one) existed in China. Millet, rice, soya beans, and later on buckwheat were all domesticated in the territory of modern China (Yang *et al.* 2012). Among these cultures, the greatest impact on the global nutrition landscape belongs to rice. Rice was domesticated around 9,000 BP (Molina *et al.* 2011; Liu *et al.* 2007). Currently the most recent archeological and genetic research localizes rice domestication in the Lower Yangtze river valley (for a substantial review see Gross and Zhao 2014), and recognizes a later separate domestication in Africa¹¹ (Vaughan, Lu, and Tomooka 2008; Li, Zheng, and Ge 2011; Molina *et al.* 2011; Huang *et al.* 2012). Rice domestication in India has been under great discussion until recently, the main question being whether there was another separate domestication of rice in this country (apart from the two domestication mentioned above). The latest genetic research shows that though rice domestication started separately in the Lower Yangtze valley and in India, in the latter this process finalized only after the fully domesticated rice from the former had reached it (Fuller 2011; Huang *et al.* 2012; Gross and Zhao 2014).

Let us now briefly view the history of the diffusion of domesticated rice across the Afro-Eurasian World System. About 5,000–4,500 BP domesticated rice went up the Yangtze river, reaching Sichuan and later on Yunnan (Fuller 2011). Around 4,500 BP this cultivar reached Taiwan and spread further to the south, both into coastal and inner regions of South-Eastern Asia. In India the first evidence of the presence of Chinese domesticated rice can be traced back to the epoch of Harappa (4,500–4,000 BP)¹², Around 4,000–3,000 BP domesticated rice reached Japan and Korea (Gross and Zhao 2014).

Having reached India and South-East Asia, rice spread further on to the Near East (about 3,000 BP) and diffused from Persia to many regions of the Persian Empire; Eu-

Europeans got to know rice thanks to Alexander the Great's campaign in India (Chang 2000).

As regards animal domestication, the major part of the modern diversity in domesticated animals goes up to one or several (but very few) initial domestication localities, wherefrom they gradually diffused through the World System. Thus, mitochondrial DNA analysis (supporting earlier archeological data) shows that almost all domesticated goats descend from Eastern Anatolia and northern and central Zagros (Naderi *et al.* 2008; see Zeder and Hesse 2000). As for pig domestication, mitochondrial DNA analysis localizes it in the Near East about 10,500 BP. Later on (about 6,000 BP) domesticated pigs reached Europe through two ways: via the Danube and the Rhine river valleys into the northwestern Europe, and via the southern sea way into the Mediterranean region (Larson *et al.* 2007, 2010). Simultaneously, Europe started to domesticate its own wild pig population, and rather soon these domesticated pigs prevailed on the Near Eastern ones (Larson *et al.* 2007).

For many years scientists have been discussing the ways how domesticates reached new regions – whether they came with new settlers, or it was information exchange between various population groups (*i.e.* the information networks of the ancient World System) that transferred new knowledge on domestication of various species. Currently there exists enough scientific evidence to support both hypotheses (Zeder 2011: 202). Thus, the diffusion of emmer wheat is strongly linked to human migration, whereas, for example, the diffusion of domesticated pigs looks much more like an information exchange.

Diffusion of technologies

The technological space of the World System before the Silk Road was relatively small as compared to later periods. However, the sustenance of the increasingly complex agrarian societies, chiefdoms, temple communities, early states, and later on agrarian empires, was based on a set of constantly improved technologies. Sets of technologies existed in production of luxury and bulk goods, construction, land and sea transportation *etc.* Some basic technologies of the ancient World System (such as pottery production) were independently invented in a number of different places (Kuzmin 2013); other technologies, say, in metallurgy (smelting of copper, bronze, and iron) and warfare (chariots) had a single place of invention, wherefrom they diffused throughout the World System. Let us view these two examples in greater detail.

Copper, bronze, and iron metallurgy

Scholars unanimously agree on the fundamental role of metallurgy in the sociopolitical and socioeconomic development of the ancient societies.

The emergence of early metal production, including mining, smelting and exchange, can be seen as a key element in the development of more complex social and political orders in the ancient world ... Metal production marked an important transition towards increasing regional and interregional trade and the innovation and diffusion of new technologies, and routinely provided the material setting for wealth accumulation among emerging elite factions within early societies ... Such conditions have been seen as contributing to the development of early ranked societies in Eurasia ... and the rise and expansion

of early states and empires from the fourth to early second millennia BC in the Near East (Hanks and Doonan 2009: 329–330).

Copper. The earliest evidence of the usage of natural copper and copper-based minerals appears in the Near East and Iran in 14,000 – 13,000 BP; copper becomes widely used in these regions in 10,000 – 9,000 BP (for a review see Killick and Fenn 2012: 562). However, the first evidence for copper smelting – the real start of copper metallurgy – is currently found in two regions, Iran, dating from 7,500 BP (Frame 2004: 1; Thornton 2009: 308), and Serbia, dating from 7,000 BP (Radivojević *et al.* 2010, 2013). By 6,000 BP copper metallurgy spread into east Turkey, southern Levant, and Central Europe (Roberts, Thornton, and Pigott 2009: 1014). As regards the spread of metallurgy from the Near East into the Far East, two most likely ways are suggested in literature, both starting in Anatolia and Iran. One way goes through the Caucasus and Eurasian steppe, the other passes the Amu-Darya river, Tianshan, and Kashgar (Tylecote 1976: 14; Linduff and Mei 2009: 275).

Bronze. The earliest tin-smelted bronze (found in the mountainous west of Iran) dates back to 6,000–5,000 BP. Around 5,000 BP the technology of tin-bronze smelting spread from here into Sumer, Arabia, the Mediterranean, then further on to Central Asia and Central Europe, and even to China (Darling 2002: 59–60; Roberts, Thornton, and Pigott 2009: 1015–1016). South-Eastern Asia received this technology via its contacts with the population of the Yellow and Yangtze river valleys (Higham *et al.* 2011: 227). Thus, all these regions appear to be part of a united network of information exchanges covering the whole of Eurasia.

Iron. Smelting iron ore was first carried out by the Hittites living in Anatolia about 3,500 BP (Headrick 2009: 36). Initially iron was inferior to bronze in terms of cracking and rusting, but superior to it thanks to the abundance of iron ore deposits and, consequently, relative cheapness of iron tools and weapons. In 3,200 BP, after the collapse of Hittite empire, the technology of iron ore smelting spread among the Near Eastern societies. Around 3,000 BP it got from Mesopotamia to India, in 2,800 BP from Arabia to Ethiopia, in 2,700 to Egypt and China, where it was substantially improved (Headrick 2009: 36–37).

Thus, all three technologies of metallurgy described above diffused through the World System very fast, reaching rather remote areas in just several centuries. In our opinion, this can be taken as a valid proof of the existence of a substantial information network tying together the World System far before the Silk Road came to existence.

Invention and diffusion of the war chariots

In the words of Russian historian Chechushkov: ‘Chariot complex is one of the most large-scale historical phenomena, geographically spreading in the vast territories of Eurasia, and chronologically embracing a major part of the Bronze Age’ (Chechushkov 2011: 62). The role of wheeled vehicles in ancient Eurasia was huge (especially among the pastoralists). Not only did they serve as the main means of transportation, but also were widely used in warfare (Hudyakov 2002: 139).

The earliest usage of two-wheeled vehicles is documented for the Near East in the third millennium BCE (Chechushkov 2011: 63). However, these vehicles were still far from light war chariots. A number of innovations was required, first of all, spoked wheel (instead of the earlier cross-bar wheels), and the domestication of horse (to re-

place donkeys). Thus, chariots as a whole technological complex appear in the Near East only in the seventeenth and sixteenth centuries BCE, when Egypt was conquered by the Hyksos (Chechushkov 2011: 63).

The prime of chariots and a rapid spread of this complex technology chariot starts around 3,600 BP. In just a little more than a century the light chariots spread throughout the territory stretching from Greece to India, from Russia to southern Egypt (Moorey 1986: 196). The massive use of chariots is recorded about 1457 BCE in the Battle of Megiddo. Around 3,200 BP the chariot technology reached China (Shaughnessy 1988). The speed of spread of a rather sophisticated technology and a close similarity of the forms of chariots on the entire Eurasian territory point to the diffusion of this technology (as opposed to the multiple inventions). Moreover, it is commonly hypothesized that this spread has been associated with a particular group of people (Indo-Aryans) (Moorey 1986: 196).

As a result, the evolution of societies of the Afroeurasian world-system (= the World System) already at this time cannot be regarded as truly independent. By the end of the first millennium BCE we observe a belt of cultures, stretching from the Atlantic to the Pacific, with an astonishingly similar level of cultural complexity characterized by agricultural production of wheat and other specific cereals, the breeding of cattle, sheep, and goats; use of the plow, iron metallurgy, and wheeled transport; development of professional armies and cavalries deploying rather similar weapons; elaborate bureaucracies, and Axial Age ideologies, and so on – this list could be extended for pages. A few millennia before, we would find another belt of societies strikingly similar in level and character of cultural complexity, stretching from the Balkans up to the Indus Valley outskirts (Peregrine and Ember 2001: vols 4 and 8; Peregrine 2003). Note that in both cases, the respective entities included the major part of the contemporary world's population (see, *e.g.*, McEvedy and Jones 1978; Durand 1977 *etc.*). We would interpret this as a tangible result of the World System's functioning. The alternative explanations would involve a sort of miraculous scenario that these cultures with strikingly similar levels and character of complexity somehow developed independently of each other in a very large but continuous zone, while for some reason nothing comparable to them appeared elsewhere in other parts of the world, which were not parts of the World System. We find such an alternative explanation highly implausible.

Thus, we would tend to treat the world population's hyperbolic growth pattern as reflecting the growth of quite a real entity – of the World System.

A few other points seem to be relevant here. Of course, there would be no grounds for speaking about a World System stretching from the Atlantic to the Pacific, even at the beginning of the first millennium CE, if we applied the 'bulk-good' criterion suggested by Wallerstein (1974, 1987, 2004), as there was no movement of bulk goods at all between, say, China and Europe at this time (as we have no reason to disagree with Wallerstein in his classification of the first century Chinese silk reaching Europe as a luxury rather than a bulk good). However, the first century CE (and even the first millennium BCE) World System definitely qualifies as such if we apply the 'softer' information-network criterion suggested by Chase-Dunn and Hall (1997). Note that at our level of analysis the presence of an information network covering the whole World System is a perfectly sufficient condition, which makes it possible to consider this system as a single evolving entity. Yet, in the first millennium BCE any bulk goods could hardly penetrate from the Pacific coast of Eurasia to its Atlantic coast. However, the World System had reached by

that time such a level of integration that iron metallurgy could spread through the whole of the World System within a few centuries.

Of course, in the millennia preceding the European colonization of Tasmania its population dynamics – oscillating around the 4000 level (*e.g.*, Diamond 1999) – were not influenced by World System population dynamics and did not influence it at all. However, such facts just suggest that since the tenth millennium BCE the dynamics of the world population reflect very vividly the very dynamics of the World System population.

Conclusion

We believe that the theory specified above can shed a new light on the issue of systemic boundaries. It could be suggested that within a new approach the main emphasis would be moved to the generation and diffusion of innovations. If a society borrows systematically important technological innovations, its evolution already cannot be considered as really independent, but should rather be considered as a part of a larger evolving entity, within which such innovations are systematically produced and diffused. The main idea of the world-system approach was to find the evolving unit. The basic idea was that it is impossible to account for the evolution of a single society without taking into consideration that it was a part of a larger whole. However, traditional world-system analysis concentrated on bulk-good movements, and core – periphery exploitation, thoroughly neglecting the above-mentioned dimension. Meanwhile, the technological innovations diffusion network turns out to be the oldest mechanism of the world-systems integration, and remained extremely important throughout the whole history, remaining important up to the present. It seems to be even more important than the core – periphery exploitation (*e.g.*, without taking this mechanism into consideration it appears impossible to account for such things as the twentieth-century demographic explosion whose proximate cause was the dramatic decline of mortality, but whose main ultimate cause was the diffusion of innovations produced almost exclusively within the world-system core). This also suggests a redefinition of the world-system core. The core is not the world-system zone exploiting other zones, but rather it is the zone with the highest innovation donor/recipient ratio, the principal innovation donor.

The mathematical models specified above demonstrate in a rather convincing way that in order that a certain set of human societies would demonstrate systemic qualities (and – thus – could be described with a single mathematical model) it is sufficient that just one condition is observed – it is necessary that the technological innovations made in one society within a system could diffuse within a millennium throughout all the other societies of the system. As soon as this condition is satisfied, the respective set of human societies can be treated as a single system (and – what is important – can be described with a single mathematical model), and we do not know any better designation for such a system than the ‘world-system’. This, of course suggests rather specific criteria for the world-systemic boundaries. The boundary of any particular world-system can be identified by regarding the zone within which the main technological innovations made within the respective world-system diffused. Thus the contacts between Central Asia and China in the third and second millennia BCE can be said to have resulted in the incorporation of China into the Afroeurasian world-system as they led to the borrowing on the part of China of major technological innovations of the western part of Afroeurasia (wheat, barley, cattle, sheep, goats, horses, bronze metallurgy, wheeled

transport, plow and so on), whereas the Norse contacts with the New World in the early second millennium CE did not result in any changes of the world-system boundaries, as those contacts (unlike the Columbian ones) did not result in any significant diffusions of technologies either from Europe to the New World or from America to the Old World.

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NOTES

¹ To be exact, the equation proposed by von Foerster and his colleagues looked as follows: $N_t = \frac{C}{(t_0 - t)^{0.99}}$. However, as has been shown by von Hoerner (1975) and Kapitza (1992, 1999), it can be written more succinctly as $N_t = \frac{C}{t_0 - t}$.

² Of course, von Foerster and his colleagues did not imply that the world population on that day could actually become infinite. The real implication was that the world population growth pattern that was followed for many centuries prior to 1960 was about to come to an end and be transformed into a radically different pattern. Note that this prediction began to be fulfilled only in a few years after the ‘Doomsday’ paper was published, starting from 1960 the world population growth began to diverge more and more from the blow-up regime, and now it is not hyperbolic any more (see, e.g., Korotayev, Malkov, and Khaltourina 2006a, where we present a compact mathematical model that describes both the hyperbolic development of the World System in the period prior to the early 1970s, and its withdrawal from the blow-up regime in the subsequent period).

³ In addition to this, the absolute growth rate is proportional to the population itself – with a given relative growth rate a larger population will increase more in absolute numbers than a smaller one.

⁴ ‘This implication flows naturally from the non-rivalry of technology... The cost of inventing a new technology is independent of the number of people who use it. Thus, holding constant the share of resources devoted to research, an increase in population leads to an increase in technological change’ (Kremer 1993: 681).

⁵ Note that ‘the growth rate of technology’ means here a relative growth rate (*i.e.* the level to which technology will grow in a given unit of time in proportion to the level observed at the beginning of this period).

⁶ In Economic Anthropology it is usually denoted as ‘Boserupian’ (see, e.g., Boserup 1965; Lee 1986).

⁷ Taagepera, Kremer, Podlazov, and Tsirel did not test this hypothesis empirically in a direct way. Note, however, that our own empirical test of this hypothesis has supported it (see Korotayev, Malkov, and Khaltourina 2006b: 141–146).

⁸ The title of an article by a social scientist discussing Kapitza's model – *Demographic Adventures of a Physicist* [Shishkov 2005] – is rather telling in this respect.

⁹ *Triticum dicoccum* Schübl., *Triticum monococcum* L., *Hordeum vulgare* L., *Pisum sativum* L., *Lens culinaris* Medik., *Cicer arietinum* L., *Vicia ervilia* (L.) Willd., *Linum usitatissimum* L.

¹⁰ In Abu-Hureira the first examples of rye with phenotypical features of domestication belong to 12,500 BP (Hillman *et al.* 2001).

¹¹ Domestication of African rice is localized in Sahel, Upper Niger (Li, Zheng, and Ge 2011).

¹² About 4,000 BP a number of other Chinese species reach the north-western regions of India and Pakistan, such as peach, apricot, millet, *etc.* (Fuller 2011).

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